

Docket Number: 008312-0271043
Client Reference: T4KN-00S0974-1



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

HOSHINO et al.

Group Art Unit: 2653

Application No.: 09/695,284

Examiner: T. TRAN

Filed: October 25, 2000

Confirmation No.: 2040

For: OPTICAL HEAD AND OPTICAL DISC APPARATUS EQUIPPED WITH OPTICAL HEAD

October 15, 2004

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference(s) has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in an Office Action in a counterpart application filed in Japan not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR 1.97(e)(1).

Applicants do not believe that a fee is due with the filing of this Information Disclosure Statement. However, please credit or debit Deposit Account 033975 as needed to ensure consideration of the disclosed information.

Respectfully submitted,

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FORM PTO-1449 (modified)
 To: U.S. Department of Commerce
 (PW FORM PAT-1449)
 Patent and Trademark Office



Atty. Dkt. No.	M#	Client Ref.
	271043	T4KN-00S0974-1
Applicant: HOSHINO et al.		
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**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

Date: October 15, 2004

Page **1** of **1**

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
AR						
BR						
CR						
DR						
ER						
FR						
GR						
HR						
IR						
JR						
KR						
LR						
MR						
NR						

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
					Enclosed	No	Enclose	No
OR								
PR								
QR								
RR								
SR								
TR								
UR								
VR								
WR								
XR								

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

YR	Office Action, dated August 10, 2004, from the Japanese Patent Office for Patent Application No. 11-304021.			X
ZR	Kubota, "Applied Optics," Iwanami Shoten, December 19, 1959, p. 27.			X
AAR				
BBR				
CCR				

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.